



Receipt

Atty Docket No.: AMS-002 CIP CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Juan Carlos Parodi
Application No.: 09/991,417 Confirmation No.: 4044
Filed: : November 16, 2001
For: : APPARATUS AND METHODS FOR REDUCING
EMBOLIZATION DURING TREATMENT OF CAROTID
ARTERY DISEASE
Group Art Unit : 3763

Office of Initial Patent Examination
Customer Service Center
Hon. Commissioner
for Patents
c/o P.O. Box 2327
Arlington, VA 22202

REQUEST FOR ISSUANCE OF CORRECTED FILING RECEIPT

Sir:

This is a request for issuance of a corrected Filing Receipt for the above-identified patent application. The filing receipt (copy enclosed) cites the filing date of application 09/078,263 as May 13, 1998. This is incorrect. The correct filing date should be **March 5, 1998** as indicated in the executed declaration and power of attorney (copy enclosed).

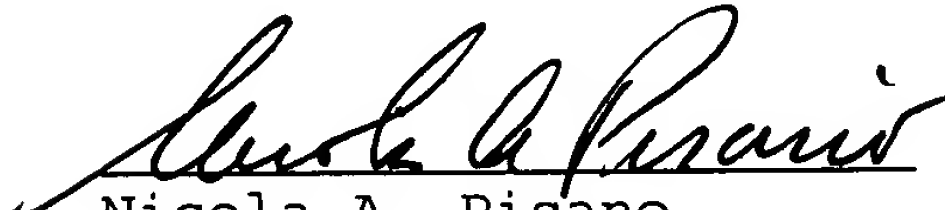
Accordingly, applicant respectfully requests that the Patent and Trademark Office issue a corrected Filing Receipt.

The Commissioner is hereby authorized to charge any fees required in connection with this Request to Deposit Account

RECEIVED
JAN 24 2002
1C 3100 MAIL ROOM

No. 06-1075. A duplicate copy of this Request is enclosed herewith.

Respectfully submitted,



Nicola A. Pisano
Registration No. 34,308
Attorney for Applicant

c/o FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020
Tel.: (650) 617-4000

I hereby Certify that this
Correspondence is being
Deposited with the U.S.
Postal Service as First
Class Mail in an Envelope
Addressed to: Office of
Initial Patent Examination,
Customer Service Center, HON.
COMMISSIONER FOR PATENTS,
c/o P.O. Box 2327,
Arlington, VA 22202 on:

01/10/02

Date of Deposit

Matt Caretto

Name of Person Signing

Matt Caretto

Signature of Person Signing

01/10/02

Date of Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/991,417	11/16/2001	3763	370	AMS-2CIP CON	5	16	2

CONFIRMATION NO. 4044

1473

FISH & NEAVE
1251 AVENUE OF THE AMERICAS
50TH FLOOR
NEW YORK, NY 10020-1105

FILING RECEIPT



OC000000007147952

Date Mailed: 12/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Juan Carlos Parodi, Buenos Aires, ARGENTINA;

Assignment For Published Patent Application

Arteria Medical Science, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/418,727 10/15/1999
WHICH IS A CIP OF 09/333,074 06/14/1999 PAT 6,206,868
WHICH IS A CIP OF PCT/US99/05469 03/12/1999
AND SAID 09/418,727 10/15/1999
IS A CIP OF 09/078,263 05/13/1998

Foreign Applications

ARGENTINA P 98 01 01146 03/13/1998

If Required, Foreign Filing License Granted 12/03/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

RECEIVED
JAN 24 2002
TC 3100 MAIL ROOM

**** SMALL ENTITY ****



Title

Apparatus and methods for reducing embolization during treatment of carotid artery disease

Preliminary Class

604

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application or 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED
JAN 24 2002
3700 MAIL ROOM